



#4 02-30-00 2761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**RECEIVED**  
FEB 21 2001  
Technology Center 2100

Applicant : Cole, et al.  
Ser. No. : 09/627,951  
Filing Date : July 28, 2000  
For : Methods and Systems for Collateral Matching and Mark To Market Reconciliation  
Examiner : Unassigned  
Art Unit : Unassigned

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

S I R:

Pursuant to 37 C.F.R. §§1.56, 1.97, and 1.98 the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form-PTO 1449. Copies of the references listed on the attached form are enclosed.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action in this application, therefore, no certification fee is required (37 C.F.R. § 1.97(b)(3)).

It is respectfully requested that the references listed on the attached form be expressly considered by the Examiner and be made of record in the application and appear among the "References Cited" on any patent to issue therefrom.

For the documents listed in "Other Documents," applicant has listed the dates which are shown on the documents. Applicant makes no representation as to the publication dates, if any, of these documents.

Date:

2/15/01

Respectfully submitted,

By:

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